# Hypercoagulable patients in the perioperative period

J.J. van Veen & P. Toth STH/CRH



• To prevent TE and minimise bleeding risk in the perioperative period

• Thromboprophylaxis (P.Toth 20 mins)

• Bridging (J.J.van Veen 20 mins)

### Virchow's triad :

venous stasis

endothelial injury

hypercoagulability

### **Periprocedural haemostasis**

### BLEEDING

**Patient related** 

**Procedure related** 

### THROMBOSIS

**Patient related** 

**Procedure related** 



## Patient related risk factors for VTE

#### Box 1. Risk factors for VTE

- Active cancer or cancer treatment
- Age over 60 years
- Critical care admission
- Dehydration
- Known thrombophilias
- Obesity (BMI over 30 kg/m<sup>2</sup>)
- One or more significant medical comorbidities (such as heart disease, metabolic, endocrine or respiratory pathologies, acute infectious diseases or inflammatory conditions)
- Personal history or a first degree relative with a history of VTE
- Use of hormone replacement therapy
- Use of oestrogen-containing contraceptive therapy
- Varicose veins with phlebitis.

For women who are pregnant or have given birth within the previous 6 weeks see Chapter 30 (Pregnancy and up to 6 weeks post partum).

# Risk of VTE in non-surgical patients

#### Table 5-19: Risk of DVT and symptomatic PE, by medical condition, from the nil arm of RCTs

	Number of patients with an event	Sample Size	Incidence	Incidence Lower 95% CL	Incidence Upper 95% CL
DVT					
General Medical Patients <sup>121,141,191,57</sup> 9	106	827	13%	11%	15%
Stroke 157,167,240,434,435,520,53 8,540,581	195	384	50%	45%	55%
Acute Coronary Syndromes <sup>42,209,251,</sup> 252,338,522,672,709	76	372	21%	17%	25%
All	377	1583	24%		
Symptomatic Pulmo	nary Embolism				
General Medical Patients <sup>121,394,579</sup>	24	2400	0.9%	0.6%	1.3%
Stroke 157,520	2	54	3%	0%	9%
Acute Coronary Syndromes <sup>42,251,445,</sup> 709	5	156	4%	2%	8%
All	17	2638	1%		

### Patient related risk factors for bleeding

#### **Box 2. Bleeding Risk Factors**

- Active bleeding
- Acquired bleeding disorders (such as acute liver failure)
- Concurrent use of anticoagulants known to increase the risk of bleeding (such as warfarin with INR higher than 2)
- Lumbar puncture/epidural/spinal anaesthesia expected within the next 12 hours
- Lumbar puncture/epidural/spinal anaesthesia within the previous 4 hours
- Acute stroke

- Thrombocytopenia (platelets less than 75 x 10<sup>9</sup>/l)
- Uncontrolled systolic hypertension (230/120 mmHg or higher)
- Untreated inherited bleeding disorders (such as haemophilia and von Willebrand's disease)

# Risk of major bleeding in non-surgical patients

	Number of patients with an event	Sample Size	Incidence	Incidence Lower 95% CL	Incidence Upper 95% CL
Major Bleeding					
General Medical Patients <sup>121,191,394,57</sup> 9	11	2629	0.4%	0.2%	0.7%
Stroke <sup>167,540,581</sup>	4	107	4%	1%	9%
Acute Coronary Syndromes <sup>70</sup>	0	14		Not Estimable	
All	15	2750	0.6%		



## Pre op haemostasis tests NICE guideline

• No paper compared the health outcomes for patients who had preoperative haemostasis tests with patients who did not.

• There is some evidence that the value of preoperative haemostasis tests may increase with comorbidity.

# NICE guideline

CODE	DEFINITION
	INAPPROPRIATE
No <sup>1</sup>	'No' indicates a consensus in both groups that the test is considered INAPPROPRIATE
No <sup>2</sup>	'No' indicates a consensus in both groups that the test is considered INAPPROPRIATE
	UNCERTAIN
а	(a) ONE group reached consensus that the test is APPROPRIATE, but the other group was UNCERTAIN
ь	(b) ONE group reached consensus that the test is NOT APPROPRIATE, but the other group was UNCERTAIN
с	(c) BOTH groups were UNCERTAIN
d	(d) BOTH group reached consensus, but ONE group agreed it was APPROPRIATE
	and ONE group agreed it was INAPPROPRIATE
	APPROPRIATE
Yes <sup>2</sup>	'Yes' indicates a consensus in both groups that the test is considered APPROPRIATE
Yes <sup>1</sup>	'Yes' indicates a consensus in both groups that the test is considered APPROPRIATE
1 indicates the top le	evel of consensus – 100% consensus in both groups.

2 indicates the secondary level of consensus - consensus was reached in BOTH groups but was only 75% in at least ONE group.

# NICE guideline

#### TABLE 5.23 Haemostasis tests for ASA grade 1 children and adults

	AGE CATE	GORIES (YE	ARS)						
Grade of surgery	<6 months	6 to <12 months	1 to <5	5 to <12	12 to <16	≥16 to <40	≥40 to <60	≥60 to <80	≥80
1	No <sup>2</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>				
2	No <sup>2</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>				
3	No <sup>2</sup>								
4	No <sup>2</sup>	b	b	b	b				
Neurosurgery	d	d	d	d	d	d	d	d	d
Cardiac surgery	а	а	а	а	а	d	d	d	d

wi	A gra th con	tasis t de 2 a norbid ory dis	dults ity fro			A gra	tasis t de 2 a 1orbid	dults		wit	A gra	de 2 a 1orbid	dults	
	•	ATEGORI		RS)		AGE C	ATEGORI	-	RS)		AGE C	ATEGORI	ES (YEA	RS)
Grade of surgery	≥16 to <40	≥40 to <60	≥60 to <80	≥80	Grade of surgery	≥16 to <40	≥40 to <60	≥60 to <80	≥80	Grade of surgery	≥16 to <40	≥40 to <60	≥60 to <80	≥80
1	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	1	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	1	No <sup>2</sup>	No <sup>2</sup>	No <sup>2</sup>	No <sup>2</sup>
2	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	2	No <sup>1</sup> No <sup>2</sup>	No <sup>1</sup> No <sup>2</sup>	No <sup>1</sup> No <sup>2</sup>	No <sup>1</sup> No <sup>2</sup>	2	No <sup>2</sup>	No <sup>2</sup>	No <sup>2</sup>	No <sup>2</sup>
3 4	No <sup>1</sup> b	No <sup>1</sup> b	No <sup>1</sup> b	No <sup>1</sup> b	4	d	d	d	d	4	c	a	a	a
wi	th con	de 3 a norbid ory dis	ity fro	m		-	de 3 a 1orbid		with	ASA grade 2 adults with comorbidity from renal disease				
	AGE C	ATEGORI	ES (YEA	RS)		AGE C	ATEGORI	-	RS)		AGE C	ATEGORI	ES (YEA	RS)
Grade of surgery	≥16 to <40	≥40 to <60	≥60 to <80	≥80	Grade of surgery	≥16 to <40	≥40 to <60	≥60 to <80	≥80	Grade of surgery	≥16 to <40	≥40 to <60	≥60 to <80	≥80
1	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	1	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	1	b	b	b	b
2	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	2	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	No <sup>1</sup>	2	b	b	b	b
		1	N. 1	NI-1	3	b	b	b	b	3	с	6		6
3	No <sup>1</sup>	No	No <sup>1</sup>	No <sup>1</sup>	5	d	d	d	d	. ၁	L	С	С	С



 Based on 9 observational studies (3 prospective) that contained enough data to allow the calculation of the predictive value and likelihood ratios of tests for perioperative bleeding

 The positive predictive value (0.03–0.22) and likelihood ratio (0.94–5.1) for coagulation tests indicate that they are poor predictors of bleeding.

Guidelines on the assessment of bleeding risk prior to surgery or invasive procedures

British Committee for Standards in HaematologyY. L. Chee, J. C. Crawford, H. G. Watson and M. GreavesBritish Journal of Haematology 2008,140, 496–504

APTT, PT prolonged

Periop bleeding

APTT, PT normal

Biological variation 2.5% Artefact due to sampling Irrelevant disorders: LA:1.2-3.8% FXII:2.3% HMWK,prekallikrein VWD 1:10000 Haem A 1:5000 male Haem B 1:30000 male F I,II,V,VII,X 1:300000 -1:2000000 Acquired disorders

Mild/masked VWD,Haem A FXIII Alpha 2 antiplasmin

> Routine preoperative coagulation tests: an outdated practice? J. J. van Veen1, D. R. Spahn2 and M. Makris 1 British Journal of Anaesthesia 106 (1): 1–3 (2011)



Indiscriminate coagulation screening prior to surgery or other invasive procedures to predict postoperative bleeding in unselected patients is not recommended. (Grade B, Level III)

• A bleeding history including detail of family history, previous excessive post-traumatic or postsurgical bleeding and use of anti-thrombotic drugs should be taken in all patients preoperatively and prior to invasive procedures. (Grade C, Level IV)

Guidelines on the assessment of bleeding risk prior to surgery or invasive proceduresBritish Committee for Standards in HaematologyY. L. Chee, J. C. Crawford, H. G. Watson and M. GreavesBritish Journal of Haematology 2008,140, 496–504

### BCSH guideline

• If the bleeding history is negative, no further coagulation testing is indicated. (Grade C, Level IV)

If the bleeding history is positive or there is a clear clinical indication (e.g. liver disease), a comprehensive assessment, guided by the clinical features is required.
 (Grade C,Level IV)

Guidelines on the assessment of bleeding risk prior to surgery or invasive procedures British Committee for Standards in Haematology Y. L. Chee, J. C. Crawford, H. G. Watson and M. Greaves British Journal of Haematology 2008,140, 496–504 Risk of VTE in hospitalised patients without thromboprophylaxis

### Table 4—Approximate Risks of DVT in Hospitalized Patients (Section 1.2)\*

Patient Group	DVT Prevalence, %
Medical patients	10-20
General surgery	15-40
Major gynecologic surgery	15-40
Major urologic surgery	15-40
Neurosurgery	15 - 40
Stroke	20-50
Hip or knee arthroplasty, HFS	40-60
Major trauma	40-80
SCI	60-80
Critical care patients	10-80

\*Rates based on objective diagnostic screening for asymptomatic DVT in patients not receiving thromboprophylaxis.

From ACCP Guidelines on prevention of VTE Chest 2008

# Procedure related VTE risk HES Registry

18: Incidence of symptomatic	VTE estimated fro	m HES 2003/4	
	Number of patients	Sample Size	Incidence
	with an event		
Femoral head	237	23538	1.01%
Knee replacement	493	52535	0.94%
Vascular	1186	169218	0.70%
Adult cardiac	208	40180	0.52%
Hip replacement	293	57899	0.51%
Transplantation	11	2375	0.46%
Thoracic	117	26002	0.45%
Lower gastrointestinal (GI)	428	95968	0.45%
Renal replacement	140	39733	0.35%
Upper gastrointestinal (GI)	356	110562	0.32%
Fractures	555	181346	0.31%
Intensive Therapy Unit (ITU)	1215	448253	0.27%
Oncology	1311	529069	0.25%
Radiology cardiovascular	404	221317	0.18%
Endoscopic and percutaneous	2383	1376236	0.17%
Joints other	29	17553	0.17%
Spine	76	56559	0.13%
Orthopaedic (other)	254	219116	0.12%
Neurosurgery not spine	229	215533	0.11%
Plastic	259	314817	0.08%
Urology	121	164362	0.07%
Hernia	72	115703	0.06%
Gynaecological	179	443529	0.04%
Arthroscopy	34	112123	0.03%
Anus and piles	26	86671	0.03%
Breast	22	78547	0.03%
Ear, Nose and Throat (ENT)	51	209680	0.02%
Head and neck	16	80258	0.02%
Max facial dental	34	184784	0.02%
Eyes	69	457382	0.02%



### Assessing procedure related bleeding risk

#### Table 2. Procedural bleeding risks7,26,27,65

#### High (2-day risk of major bleed 2%-4%)

Heart valve replacement

Coronary artery bypass

Abdominal aortic aneurysm repair

Neurosurgical/urologic/head and neck/abdominal/breast cancer surgery

Bilateral knee replacement

Laminectomy

Transurethral prostate resection

Kidney biopsy

Polypectomy, variceal treatment, biliary sphincterectomy, pneumatic dilatation PEG placement

Endoscopically guided fine-needle aspiration

Multiple tooth extractions

Vascular and general surgery

Any major operation (procedure duration > 45 minutes)

#### Low (2-day risk of major bleed 0%-2%)

Cholecystectomy

Abdominal hysterectomy

Gastrointestinal endoscopy ± biopsy, enteroscopy, biliary/pancreatic stent

without sphincterotomy, endonosonography without fine-needle aspiration Pacemaker and cardiac defribillator insertion and electrophysiologic testing

Simple dental extractions

Carpal tunnel repair

Knee/hip replacement and shoulder/foot/hand surgery and arthroscopy

Dilatation and curettage

Skin cancer excision

Abdominal hernia repair

Hemorrhoidal surgery

Axillary node dissection

Hydrocele repair

Cataract and noncataract eye surgery

Noncoronary angiography

Bronchoscopy ± biopsy

Central venous catheter removal

Cutaneous and bladder/prostate/thyroid/breast/lymph node biopsies

This table is based on definitions derived from surgical/subspecialty societies in anticoagulant bridging or anticoagulant bridging management studies.

## Bleeding risk associated with surgery

#### Bleeding risk associated with surgery

#### Very high risk<sup>1</sup>

Cardiac surgery

#### **High risk**

#### Low risk<sup>2</sup>

major orthopaedic

- neurosurgery
- Spinal surgery
- radical prostatectomy

 major vascular surgery Major

surgery

- gynaecological and urological surgery
- Major cancer surgery
- Other major abdominal and thoracic surgery
- renal biopsy

Minor procedures as specified by treating surgeon/physician

#### On warfarin possible<sup>3</sup>

- Diagnostic GI endoscopic procedures ± biopsy(Veitch et al, 2008)
- Biliary or pancreatic stenting (Veitch et al, 2008)
- Diagnostic EUS (Veitch et al, 2008)
- minor dermatological surgery (Douketis et al, 2008)
- Minor dental surgery (Perry et al, 2007)
- Minor ophthalmological surgery (cataract extraction) (Douketis et al, 2008)

# Risk assessment scores-Rogers score

### Table 6—Risk Assessment Model From the Patient Safety in Surgery Study

Risk Factor	Risk Score Points
Operation type other than endocrine	
Respiratory and hernic	9
Thoracoabdominal aneurysm, embolectomy/	7
thrombectomy, venous reconstruction,	
and endovascular repair	
Aneurysm	4
Mouth, palate	4
Stomach, intestines	4
Integument	3
Hernia	2
ASA physical status classification	
3, 4, or 5	2
2	1
Female sex	1
Work RVU	
>17	3
10-17	2
Two points for each of these conditions	2
Disseminated cancer	
Chemotherapy for malignancy within 30 d	
of operation	
Preoperative serum sodium > 145 mmol/L	
Transfusion >4 units packed RBCs in 72 h	
before operation	
Ventilator dependant	
One point for each of the conditions	1
Wound class (clean/contaminated)	
Preoperative hematocrit level ≤ 38%	
Preoperative bilirubin level > 1.0 mg/dL	
Dyspnea	
Albumin level $\leq 3.5 \text{ mg/dL}$	
Emergency	
Zero points for each of these conditions	0
ASA physical status class 1	0
Work BVU < 10	
Male sex	
ASA = American Society of Anesthesiologists; R	VU = relative valu

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ASA = American Society of Anesthesiologists; RVU = relative value unit. Republished with permission from Rogers et al.<sup>82</sup>

### Risk assessment scores- Caprini score

### Table 7—Caprini Risk Assessment Model

1 Point	2 Points	3 Points	5 Points
Age 41-60 y	Age 61-74 y	$Age \ge 75 \text{ y}$	Stroke $(< 1 \text{ mo})$
Minor surgery	Arthroscopic surgery	History of VTE	Elective arthroplasty
$BMI > 25 \text{ kg/m}^2$	Major open surgery $(>45 \text{ min})$	Family history of VTE	Hip, pelvis, or leg fracture
Swollen legs	Laparoscopic surgery	Factor V Leiden	Acute spinal cord injury
	(>45 min)		(< 1  mo)
Varicose veins	Malignancy	Prothrombin 20210A	
Pregnancy or postpartum	Confined to bed $(>72 h)$	Lupus anticoagulant	
History of unexplained or recurrent	Immobilizing plaster cast	Anticardiolipin antibodies	
spontaneous abortion			
Oral contraceptives or hormone	Central venous access	Elevated serum homocysteine	
replacement			
Sepsis (<1 mo)		Heparin-induced thrombocytopenia	
Serious lung disease, including		Other congenital or acquired	
pneumonia (<1 mo)		thrombophilia	
Abnormal pulmonary function			
Acute myocardial infarction			
Congestive heart failure (<1 mo)			
History of inflammatory bowel disease			
Medical patient at bed rest			

# Assessing perioperative thrombotic risk

Table 5-Risk Stratification for VTE in General, Abdominal-Pelvic, Bariatric, Vascular, and Plastic and Reconstructive Surgery

				Patient Popu	lation			
AT9 VTE Risk	General,	dergoing Major Thoracic, or ar Surgery Observed Risk	Including GI, U	bing General Surgery, Jrological, Vascular, hyroid Procedures Observed Risk of		dergoing Plastic ructive Surgery Observed Risk	Other Survival Banulations	Estimated Baseline Risk in the Absence of Pharmacologic or
Category	Rogers Score	of Symptomatic VTE, %	Caprini Score	Symptomatic VTE, %	Caprini Score	of VTE, %	Other Surgical Populations in Risk Category	Mechanical Prophylaxis, %
Very low	<7	0.1	0	0	0-2	NA	Most outpatient or same-day surgery	< 0.5
Low	7-10	0.4	1-2	0.7	3-4	0.6	Spinal surgery for nonmalignant disease	1.5
Moderate	>10	1.5	3-4	1.0	5-6	1.3	Gynecologic noncancer surgery Cardiac surgery Most thoracic surgery Spinal surgery for malignant disease	3.0
High	NA	NA	≥5	1.9	7-8	2.7	Bariatric surgery Gynecologic cancer surgery Pneumonectomy Craniotomy Traumatic brain injury Spinal cord injury Other major trauma	6.0

AT9 = Antithrombotic Therapy and Prevention of Thrombosis, 9th ed: American College of Chest Physicians Evidence-Based Clinical Practice Guidelines.

Assessing perioperative bleeding risk

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 Table 8—Risk Factors for Major Bleeding

 Complications

#### General risk factors

Active bleeding	bleeding	Active
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Previous major bleeding

Known, untreated bleeding disorder

Severe renal or hepatic failure

Thrombocytopenia

Acute stroke

Uncontrolled systemic hypertension

Lumbar puncture, epidural, or spinal anesthesia within previous 4 h or next 12 h

Concomitant use of anticoagulants, antiplatelet therapy, or thrombolytic drugs

Procedure-specific risk factors

Abdominal surgery

Male sex, preoperative hemoglobin level <13 g/dL, malignancy, and complex surgery defined as two or more procedures, difficult dissection, or more than one anastamosis<sup>89</sup>

Pancreaticoduodenectomy

Sepsis, pancreatic leak, sentinel bleed<sup>87</sup>

Hepatic resection

Number of segments, concomitant extrahepatic organ resection, primary liver malignancy, lower preoperative hemoglobin level, and platelet counts<sup>88</sup>

Cardiac surgery

Use of aspirin<sup>90</sup>

Use of clopidogrel within 3 d before surgery<sup>91</sup>

BMI > 25 kg/m<sup>2</sup>, nonelective surgery, placement of five or more grafts, older age<sup>92</sup>

Older age, renal insufficiency, operation other than CABG, longer bypass time<sup>93</sup>

Thoracic surgery

Pneumonectomy or extended resection<sup>94</sup>

Procedures in which bleeding complications may have especially severe consequences

Craniotomy

Spinal surgery

Spinal trauma

Reconstructive procedures involving free flap

CABG = coronary artery bypass graft.

### Complex preoperative assessment and recommendations for thrombosis prophylaxis

Table 23—Recommendations for Thromboprophylaxis in Various Risk Groups		
	Risk and Consequences of Major Bleeding Complications	
Risk of Symptomatic VTE	Average Risk ( $\sim 1\%$ )	High Risk ( $\sim 2\%$ ) or Severe Consequences
Very low (<0.5%)	No specific prophylaxis	
Low (~1.5%)	Mechanical prophylaxis, preferably with IPC	
Moderate (~3.0%)	LDUH, LMWH, <i>or</i> mechanical prophylaxis, preferably with IPC	Mechanical prophylaxis, preferably with IPC
High (~6.0%)	LDUH or LMWH plus mechanical prophylaxis with ES or IPC	Mechanical prophylaxis, preferably with IPC, until risk of bleeding diminishes and pharmacologic prophylaxis can be added
High-risk cancer surgery	LDUH or LMWH plus mechanical prophylaxis with ES or IPC and extended-duration prophylaxis with LMWH postdischarge	Mechanical prophylaxis, preferably with IPC, until risk of bleeding diminishes and pharmacologic prophylaxis can be added
High risk, LDUH and LMWH contraindicated or not available	Fondaparinux <i>or</i> low-dose aspirin (160 mg); mechanical prophylaxis, preferably with IPC; or both	Mechanical prophylaxis, preferably with IPC, until risk of bleeding diminishes and pharmacologic prophylaxis can be added

### Timing of thrombosis prophylaxis

#### Pre/postop vs periop

For patients undergoing major orthopedic surgery (THA, TKA, HFS) and receiving LMWH

as thromboprophylaxis, we recommend starting either 12 h or more preoperatively or 12 h or more postoperatively rather than within 4 h or less preoperatively or 4 h or less postoperatively (Grade 1B) . Major bleeding 5-7% vs 1-3% *ACCP 2012*   Extended thromboprophylaxis
 7-12 wks 10-50x VTE risk
 Hip-knee surgery,major abdominal/pelvic cancer surgery



Baseline risk without thromboprophylaxis

### Peri-op VTE

DVT 20-80%PE 1-7.9%

Peri-op major bleed

• Major bleed 1.4-3.2%

PE fatality rate 6-31%
5 yrs PTS rate 15-25%
2 yrs CTEPH rate 0.75%

Fatality rate 0.8%
Re-operation rate 13-21%
Chr. morbidity 3%

# Thromboprophylaxis

### Peri-op VTE

### **Peri-op complication**

Mechanical methods
 30-60% DVT reduction

3-4x skin lesions
4-5% vs 1.3%

Pharmacological
 50-70% DVT reduction

 50% increase in major bleeding